



RUKMINI DEVI

Institute of Advanced Studies

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Guest Lecture on “SEBI and Financial Education for Young Investors”

Topic :	“SEBI and Financial Education For Young Investors”
Date of event :	August 18, 2011
Aim of the Event	<p>Financial education enables young people to start positive habits early such as saving money and budgeting, avoid making “wrong” decisions that could result in years of financial pain such as incurring an unmanageable level of credit card debt!!</p> <p>The session aimed at guiding the students for their future investment plans so as to :</p> <ul style="list-style-type: none">• protect the interests of investors in securities• promote the development of Securities Market;• regulate the securities market and• for matters connected therewith or incidental thereto. <p>Studies indicate that a sizeable majority of college students have at least one credit card which they use frequently for managing their expenses. Fortunately, an opportunity exists to deliver critical information to a largely receptive audience, as a recent survey indicated that a large majority of students are eager to learn more about money matters.</p>
Description of the event	The session was taken by Ms. Nanu Kaur, Assistant General Manager SEBI-Northern Regional Office. She spoke about the functioning of SEBI and highlighted the attempt of the organization in fulfillment of its objectives with commendable zeal and dexterity.

She briefed the students about comprehensive regulatory measures, prescribed registration norms, eligibility criteria, code of obligations and the code of conduct for different intermediaries like bankers to issue, merchant bankers, brokers, sub-brokers, registrars, portfolio managers, credit rating agencies, underwriters and others. She also spoke of framed bye-laws for risk identification and risk management systems for clearing houses of stock exchanges, surveillance system etc, which have made dealing in securities both safe and transparent to the end investor.

The next session was taken by Ms. Sarika Kataria , who interacted with the students in an open session and sorted out their queries . The session was then followed by a video clip of our rich Indian heritage which highlights our respect towards money and how we can enhance our money by investing in different areas.

The session was highly informative and our students have learned that the golden rule of investment is to invest through diversification.